# Request for Taxpayer Identification Number and Certification

**Purpose of Form**
An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

**Future developments**
Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

## General Instructions
Section references are to the Internal Revenue Code unless otherwise noted.

**Certification**
Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am required to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. See [www.irs.gov/fw9](http://www.irs.gov/fw9) for further information.

**Exemptions**
- Exempt payee code (if any) (Applies to accounts maintained outside the U.S.)
- Exemption from FATCA reporting code (if any)

## Part I
**Taxpayer Identification Number (TIN)**
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

**Note**
If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

## Part II
**Certification**
Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Exemptions**
- Exempt payee code (if any) (Applies to accounts maintained outside the U.S.)
- Exemption from FATCA reporting code (if any)

## Sign Here
**Signature of U.S. person**

**Date**
March 13 2017

**Print or type**
Globalscape, Inc.
4500 Lockhill Selma Road, Suite 150
Cities of San Antonio, TX 78249

**Request for Taxpayer Identification Number and Certification**

**Requester’s name and address (optional)**

<table>
<thead>
<tr>
<th>Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.</th>
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<tbody>
<tr>
<td>Globalscape, Inc.</td>
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<table>
<thead>
<tr>
<th>Business name/disregarded entity name, if different from above</th>
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<tr>
<th>Check appropriate box for federal tax classification; check only one of the following seven boxes:</th>
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</thead>
<tbody>
<tr>
<td>Individual/sole proprietor or C Corporation</td>
</tr>
<tr>
<td>Single-member LLC S Corporation</td>
</tr>
<tr>
<td>Partnership</td>
</tr>
<tr>
<td>Trust/estate</td>
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<tr>
<th>Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.</th>
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<tr>
<th>Check the appropriate box for federal tax classification; check only one of the following seven boxes:</th>
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<tbody>
<tr>
<td>Social security number or Employer identification number</td>
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<tr>
<th>Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):</th>
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<tbody>
<tr>
<td>Exempt payee code (if any)</td>
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<tr>
<th>Exemption from FATCA reporting code (if any) (Applies to accounts maintained outside the U.S.)</th>
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**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at [www.irs.gov/fw9](http://www.irs.gov/fw9).

**What is FATCA reporting?**

**What is backup withholding?**

**To get a TIN on page 3:**

**How to get a TIN on page 3:**

**Frequently Asked Questions (FAQs):**

**Special Instructions:**

**Specific Instructions:**

**General Instructions:**

**Certification:**

**Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):**

**Exempt payee code (if any):**

**Exemption from FATCA reporting code (if any):**

(Applies to accounts maintained outside the U.S.)